

06-06-01

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06/05/01
 1057 U.S. PTO

PATENT
 Docket No. SEL-156 DIV

1017 U.S. PTO
 09/874670

06/05/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| <p>Prior Application:</p> <p>Examiner: <u>F. Abraham</u></p> <p>Art Unit: <u>2826</u></p> | <p>I hereby certify that this 37 CFR 1.60 request and the documents referred to as attached therein are being deposited with the United States Postal Service on <u>June 5, 2001</u> in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Mailing Label Number <u>EL 828231244</u> addressed to the: Commissioner for Patents, Washington, D.C. 20231</p> <p><u>ARMANDO CHING</u> name of person signing</p> <p><u>Armando Ching</u> signature</p> |
|---|--|

BOX PATENT APPLICATION
 Assistant Commissioner for Patents
 Washington, D.C. 20231

TRANSMITTAL OF FILING UNDER 37 CFR 1.60(b)

- This is a request for filing a
 - ☒ Divisional
 - ☐ Continuation

application under 37 CFR 1.60 of pending prior application Serial No. 09/477,865 filed on January 5, 2000 of Shunpei YAMAZAKI, Jun KOYAMA, Hiroshi SHIBATA and Takeshi FUKUNAGA for Semiconductor Device And Manufacturing Method Thereof

- True Copy of Original Prior Application Papers Attached.

I hereby verify that the attached papers are a true copy of the above-identified prior application, including the oath or declaration originally filed (37 CFR 1.60).

3. Amendments

☒ Cancel in this application original claims 1-6 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

☐ Please enter the enclosed Preliminary Amendment (prior to calculating the filing fee)

4. Information Disclosure Statement

☒ An Information Disclosure Statement is enclosed herewith.

5. Fee Calculation (claims pending after entry of any amendments above)

☐ A verified statement of small entity status:

☐ has been filed in the parent application and such status is still proper and desired

☐ is attached

☒ Other than small entity

| For | Number Filed | Number Extra | Rate sm/lg ent. | Fee |
|-------------------------------------|-----------------|-----------------|--------------------|----------|
| Total Claims | 16 | -20 =0 | x \$9/18 | \$0.00 |
| Independent Claims | 6 | - 3 = 3 | x \$40/80 | \$240.00 |
| Multiple Dependent Claims | No | | \$270/135 | \$0.00 |
| Basic Fee (minimum amount required) | | | \$355/710 | \$710 |
| Assignment | | | \$40 | \$0 |
| Total Filing Fee | | | | \$950.00 |
| | | | | |

6. Fee Payment

☒ A check in the amount of \$950.00 is enclosed

☐ Please charge deposit account 50/1039 in the amount of _____.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 50/1039. A duplicate copy of this sheet is enclosed.

7. Drawings

☒ New formal drawings are enclosed.

☐ Informal drawings are enclosed.

8. Foreign Priority

☒ Priority of foreign application serial nos. 11-004701 filed on January 11, 1999 in Japan is claimed under 35 U.S.C. 119.

☒ The certified copy of each has been filed in prior U.S. application Serial No. 09/477,865.

☐ The certified copy will follow.

9. Relate Back -- 35 U.S.C. 120

☒ Please amend the specification by inserting before the first line the sentence:

--This application is a divisional of copending U.S. application Serial No. 09/477,865,
filed on January 5, 2000.--

10. Inventorship

With respect to the prior copending U.S. application from which this application claims benefit under 35 USC 120 the inventor(s) in this application is (are):

☒ the same

☐ less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted:

11. Assignment

☒ The prior application is assigned of record to Semiconductor Energy Laboratory Co., Ltd.

☐ An assignment of the invention to _____ is attached (with cover sheet) for recording..

12. Maintenance of Copendency of Prior Application

☐ A petition, fee and response has been filed to extend the term in the pending prior application until _____ or

☐ A conditional petition for extension of time is being filed in the pending parent application (copy enclosed).

13. Power of Attorney

[X] The power of attorney in the prior application is to: Edward D. Manzo, registration no. 28,139

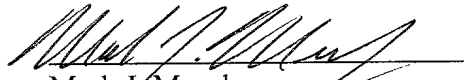
[] The power appears in the original papers in the prior application.

[X] Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

[X] Address all future communications to:

Edward D. Manzo
Cook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd.
200 West Adams St., Ste. 2850
Chicago, IL 60606

Respectfully submitted,


Mark J. Murphy
Registration no. 34,225

Date: June 5, 2001

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